

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

2711  
Docket No.

5264

In Re Application Of: John Hendricks, et al.

2611

Serial No.  
09/344,492

Filing Date  
June 25, 1999

Examiner  
N/A

Group Art Unit  
2711

Title: Electronic Book with Restricted Access Features

#5  
W. Layson  
9/5/02

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Electronic Book with Restricted Access Features

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: August 28, 2002

JK

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(703) 288-5260 facsimile

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## INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.  
5264SERIAL NO.  
09/344,492

John Hendricks, et al.

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June 25, 1999GROUP  
2711

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,985,697	1/15/1991	Boulton	707	500.1	
	2.	5,799,157	8/25/1998	Escallon	705	27	
	3.	6,052,717	4/18/2000	Reynolds, et al.	709	218	
	4.	4,855,725	8/8/1989	Fernandez	345	175	
	5.	5,761,485	6/2/1998	Munyan	345	839	
	6.	5,986,690	11/16/1999	Hendricks	725	60	
	7.	6,034,680	3/17/2000	Kessenich	345	733	
	8.	5,144,663	9/1/1992	Kudelski, et al.	380	230	
	9.	5,093,718	3/3/1992	Hoarty, et al.	725	120	
	10.	5,404,393	4/4/1995	Remillard	379	93.25	
	11.	5,343,239	8/30/1994	Lappington, et al.	725	136	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	WO 97/23819	7/3/1997	WIPO				
	2.	WO 97/22110	6/19/1997	WIPO				
	3.	WO 97/22067	6/19/1997	WIPO				
	4.	WO 97/22065	6/19/1997	WIPO				
	5.	WO 97/22102	6/19/1997	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Rauch, et al.; Enabling the Book Metaphor for the World Wide Web: Disseminating on-line information as dynamic web documents; June 1997; Pages 111-128
	2.	Speech Technology; Electronic Dictionary Pronounces over 83,000 Words; May 1989; Pages 78-79

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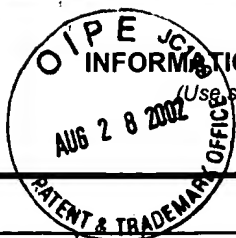
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,479,268	12/26/1995	Young, et al.	386	83	
	13.	5,319,455	6/7/1994	Hoarty, et al.	725	34	
	14.	5,014,125	5/7/1991	Pococh, et al.	725	93	
	15.	5,481,296	1/2/1996	Cragum, et al.	725	136	
	16.	5,644,354	7/1/1997	Thompson, et al.	725	138	
	17.	5,570,126	10/29/1996	Blahut, et al.	725	93	
	18.	5,724,091	3/3/1998	Freeman, et al.	725	138	
	19.	4,381,522	4/26/1983	Lambert	725	93	
	20.	5,500,794	3/19/1996	Fujita, et al.	700	83	
	21.	5,396,546	3/7/1995	Remillard	379	93.24	
	22.	5,663,757	9/2/1997	Morales	725	5	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	6.	WO 97/22111	6/19/1997	WIPO				
	7.	WO 97/22103	6/19/1997	WIPO				
	8.	WO 97/22063	6/19/1997	WIPO				
	9.	WO 97/22105	6/19/1997	WIPO				
	10.	WO 97/22104	6/19/1997	WIPO				

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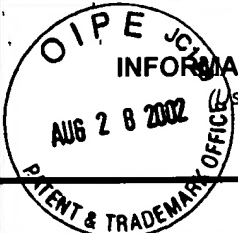
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	3.	Pasquier-Boltuck, et al. Prototyping an Interactive Electronic Book System Using an Object-Oriented Approach; August, 1988; Page 177-190
	4.	Boyle, et al.; A Survey and Classification of Hypertext Documentation Systems; 1992; Pages 90-111

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	23.	5,793,414	8/11/1998	Shaffer	725	133		
	24.	5,003,384	3/26/1991	Durden, et al.	725	104		
	25.	5,805,204	9/8/1998	Thompson, et al.	725	48		
	26.	5,446,490	8/29/1995	Blahut, et al.	725	97		
	27.	4,930,160	5/29/1990	Vogel	725	30		
	28.	6,204,885	3/20/2001	Kwoh	348	564		
	29.	5,477,262	12/19/1995	Bunker, et al.	725	38		
	30.	5,600,368	2/4/1997	Matthews, III	348	143		
	31.	5,206,929	4/27/1993	Langford, et al.	345	723		
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11.	WO 97/22109	6/19/1997	WIPO				
	12.	WO 97/22112	6/19/1997	WIPO				
	13.	WO 97/22079	6/19/1997	WIPO				
	14.	WO 97/22049	6/19/1997	WIPO				
	15.	WO 97/22100	6/19/1997	WIPO				
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)								
	5.	Bogdan Czejdo; Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems; February, 1990; Pages 227-236						
	6.	Bestler, et al.; Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications; June 1993; Pages 223-226						
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<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. <b>5264</b>	SERIAL NO. <b>5264</b>
	<b>John Hendricks, et al.</b>	
	FILING <b>09/344,492</b>	GROUP <b>2711</b>

U.S. PATENT DOCUMENTS							
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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	26.	WO 98/18086	4/30/1998	WIPO				
	27.	WO 98/08344	2/24/19098	WIPO				
	28.	EP0838798A1	4/29/1998	Europe				
	29.	WO 97/20274	6/5/1997	WIPO				
	30.	WO 99/44144	9/2/1999	WIPO				

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	36.	WO 93/22877	11/11/1993	WIPO				
	37.	EP0420123A2	4/3/1991	Europe				
	38.	WO 97/20274	6/5/1997	WIPO				
	39.	JP 7230466	8/29/1995	Japan				
	40.	WO 96/25006	8/15/1996	WIPO				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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